

**RECEIVED  
CENTRAL FAX CENTER****FEB 14 2007**

PTO/SB/21 (09-06)

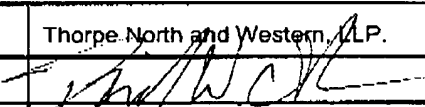
Approved for use through 03/31/2007. OMB 0651-0031

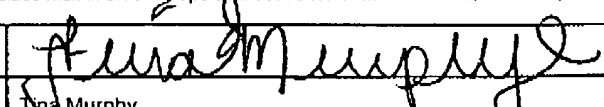
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/769,554
	Filing Date	1/30/2004
	First Named Inventor	Chien-Min Sung
	Art Unit	2814
	Examiner Name	Marcos D. Pizarro Crespo
	Attorney Docket Number	00802-21154.DIV
Total Number of Pages in This Submission		

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Thorpe North and Western, LLP.		
Signature			
Printed name	David W. Osborne		
Date	February 14, 2007	Reg. No.	44989

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Tina Murphy	Date	February 14, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form, and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



RECEIVED  
CENTRAL FAX CENTER  
FEB 14 2007

---

**FACSIMILE TRANSMISSION**

---

DATE: FEBRUARY 14, 2007

PAGE 1 OF: 8

TO: USPTO

FACSIMILE NO.: (571) 273-8300

FROM: DAVID W. OSBORNE

TRANSMITTED BY: TINA MURPHY

OUR DOCKET NO.: 00802-21154.DIV

FOR: DIAMOND COMPOSITE HEAT SPREADER AND ASSOCIATED METHODS

SUBJECT: AMENDMENT UNDER 37 C.F.R. § 1.111

Mail Stop Amendment  
Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir/Madam:

Attached please find an Amendment under 37 C.F.R. § 1.111 for Docket No. 00802-21154.DIV, Application No. 10/769,554.

Thank you. We await your confirmation of receipt.

Respectfully submitted,

David W. Osborne  
THORPE NORTH & WESTERN, LLP  
Customer No. 20,551  
Reg. No. 44,989

The pages that follow may contain sensitive, privileged or confidential information intended solely for the addressee named above. If you receive this message and are not the agent or employee of the addressee, and have therefore been sent or received this communication in error, you are asked not to disseminate or copy any of the attached and are to notify the sender immediately by telephone. Please also return the original message to the sender by mail. Thank you.

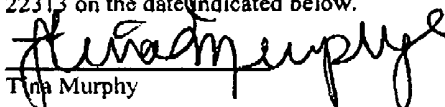
[www.tnw.com](http://www.tnw.com)8160 South 700 East - Suite 200 - Sandy, Utah 84070-0562 - 801.566.6633 • F. 801.566.0750 • [patlaw@tnw.com](mailto:patlaw@tnw.com) U.S. Mailing Address: P.O. Box 1219 • Sandy, Utah 84091-1219

The Team Approach to Premier Performance™

RECEIVED  
CENTRAL FAX CENTER  
FEB 14 2007

Patent App. SN: 10/769,554  
Attorney Docket No. 21154.DIV

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Sung, Chien-Min	<b>CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. § 1.8</b>  I hereby certify under 37 CFR § 1.8 that this correspondence is being facsimile transmitted to the USPTO or being deposited with the United States Postal Service with sufficient postage as first class postage in an envelope addressed to Commissioner of Patents Alexandria, VA 22313 on the date indicated below.  Tina Murphy <u>February 14, 2007</u> Date of Deposit
SERIAL NO.:	10/769,554	
FILED:	January 30, 2004	
FOR:	DIAMOND COMPOSITE HEAT SPREADER AND ASSOCIATED METHODS	
ART UNIT:	2814	
EXAMINER:	Pizarro Crespo, Marcos D.	
DOCKET NO.:	21154.DIV	

## AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.111

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

In response to the Office Action mailed November 21, 2006, please enter this Amendment and reconsider this application in view of the remarks provided below.

Page 1 of 6